

10/509941#3

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Attorney Docket No.

Client Ref.

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056291-5183

100690-1P US

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: STOKES et al.

Appln. No.: To Be Assigned

Filing Date: Concurrently

Date: October 1, 2004

Page 1 of 1

Examiner: Unassigned

Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
VS	AR	3,974,172 A	08/10/76	Sahm Wilfried et al.			
VB	BR	3,931,215 A	01/06/76	Horn Anton et al.			
	CR						
	DR						
	ER						
	FR						

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor/Applicant Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclosed	No
VS	GR	01/38322 A	05/2001	WIPO	Methylgene, Inc.				
VM	HR	03/024448 A	03/2003	WIPO	Methylgene, Inc.				
VM	IR	07/74791 A	10/2001	WIPO	Shigenaga et al.				
VW	JR	1273575 A	01/2003	EP	Shigenaga et al.				
VM	KR	2000302765 A	10/2000	Japan	Yamanouchi Pharmaceutical Co., Ltd., Japan				
VM	LR	5239069	09/1993	Japan	Canon KK, Japan				
	MR								

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

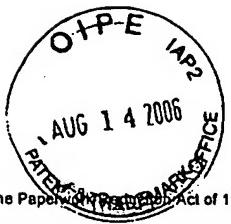
WD	NR	Koshio, Hiroyuki et al., "Preparation of phenyldiazepane derivatives or salt thereof having anticoagulant activity", Chemical Abstract, STN Database, Accession No. 133:321906, XP002248767, CAS RN 303134-06-7, 303134-17-0, 303134-59-0 (disclaiming compounds) & JP 2000 302765 A (Yamanouchi Pharmaceutical Co., Ltd., Japan), 10/31/2000					
VM	OR	Yamada, Yoko et al., "Preparation of benzothiazole and benzoxazole derivatives and analogs as liquid crystals", Chemical Abstract, STN Database, Accession No. 121:122228, XP002248768, CAS RN 156932-97-7, abstract, & JP 05 239069 A (Canon KK, Japan), September 17, 1993					
	PR						
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	SR						
	TR						
	UR						

Examiner V Balasubramanian

Date Considered:

8/31/07

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>	Application Number		10509941
	Filing Date		2004-10-01
	First Named Inventor		Elaine Sophie Elizabeth Stokes
	Art Unit		1624
	Examiner Name		Johnson, Jason H
Attorney Docket Number		100690-1P US	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
W	1	3974172		1976-08-10	Sahm, et al.	
W	2	3931215		1976-01-06	Horn, et al.	
W	3	6174905	B1	2001-01-16	Suzuki, et al.	

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U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
W	1	20040087631	A1	2004-05-06	Bacopoulos, et al.	

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FOREIGN PATENT DOCUMENTS							
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
W	1	0847992	EP	A1	1998-06-17	Mitsui Chemicals, Inc.	

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WD	2	03/075839	WO	A2	2003-09-18	Aton Pharma, Inc.		<input type="checkbox"/>
	3	03/075856	WO	A2	2003-09-18	University of Delaware		<input type="checkbox"/>
	4	03/076400	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	5	03/076401	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	6	03/076430	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	7	03/076421	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	8	03/076422	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	9	03/076438	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
	10	01/38322	WO	A1	2001-05-31	Methylgene, Inc.		<input type="checkbox"/>
	11	03/024448	WO	A2	2003-03-27	Methylgene, Inc.		<input type="checkbox"/>
WD	12	01/74791	WO	A1	2001-10-11	Yamanouchi Pharmaceutical Co., LTD		<input checked="" type="checkbox"/>



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13	03/076395	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
14	03/075929	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.		<input type="checkbox"/>
15	11-269140	JP	A	1999-10-05	Mitsui Chem, Inc.		<input checked="" type="checkbox"/>
16	10-152462	JP	A	1998-06-09	Mitsui Chem, Inc.		<input checked="" type="checkbox"/>
17	11-269146	JP	A	1999-10-05	Mitsui Chem, Inc.		<input checked="" type="checkbox"/>
18	11-335375	JP	A	1999-12-07	Mitsui Chem, Inc.		<input checked="" type="checkbox"/>
19	11-302173	JP	A	1999-11-02	Mitsui Chem, Inc.		<input checked="" type="checkbox"/>
20	2000-302765	JP	A	2000-10-31	Yamanouchi Pharmaceut. Co. LTD		<input checked="" type="checkbox"/>
21	2002-161084	JP	A	2002-06-04	Sumitomo Pharmaceut. Co. LTD		<input checked="" type="checkbox"/>
22	05-239069	JP	A	1993-09-17	Canon, INC		<input checked="" type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
M	1	Yamada et al. Preparation of benzothiazole and Benzoxazole derivatives and analogs as liquid crystals. XP-002248768, 1994:522228 CAPLUS, Abstract	<input type="checkbox"/>
M	2	Koshio, et al. Preparation of phenyldiazepane derivatives or salt thereof having anticoagulant activity. XP-002248767, 2000:765431 CAPLUS, Abstract.	<input type="checkbox"/>

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Examiner Signature V Balasubramanian Date Considered 8/31/2007

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